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# 非饱和膨胀土主动土压力的库仑解析解

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**摘要:** 分析非饱和膨胀土的强度特性, 考虑非饱和膨胀土含水量与强度的关系及相关影响因素, 基于平面滑裂面假设, 运用库仑理论, 推得了计算非饱和膨胀土主动土压力的解析解公式, 并用实际算例对公式进行检验。算例分析表明, 随着含水量的增加, 主动土压力增大, 其变化幅度逐渐减小; 若不考虑含水量的影响, 结果偏于保守; 应用本文建立的解析解公式, 可直接得出膨胀土的主动土压力及填土滑裂面与水平面的夹角。

**关键词:** 非饱和膨胀土; 含水量; 基质吸力; 主动土压力; 库仑解析解

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## Coulomb analytic solution of active earth pressure for unsaturated expansive soils

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**Abstract:** The strength characteristics of unsaturated expansive soil was analyzed, based on the plane sliding surface assumption, the analytical formula of the active earth pressure calculation for unsaturated expansive soil was proposed which considered the relationship between water content and strength of unsaturated expansive soil and other relevant factors, using the basic principle of Coulomb theory, and practical example was used to validate the formula. The example showed that the active earth pressure increased with the increase of moisture, while the magnitude of the change decreased; If the influence of water content was not considered, the result was conservative; The active earth pressure of expansive soil and the angle of filling sliding surface with the horizontal could be obtained directly applying the method established in this study.

**Key words:** unsaturated expansive soil; moisture; matric suction; active earth pressure; Coulomb analytic solution

膨胀土是一种具有裂隙性、胀缩性和超固结性的高塑性黏土, 当含水率发生变化时, 会遇水膨胀、失水收缩, 表现出明显的胀缩性<sup>[1]</sup>。膨胀土在世界六大洲的 40 多个国家均有分布。我国先后在 20 多个省市发现有膨胀土<sup>[2]</sup>。工程建设中经常会遇到膨胀土的问题。膨胀土的强度与含水量关系密切, 且土压力又与填土强度密切相关, 因而膨胀土的土压力应充分考虑含水量变化的影响。目前, 非饱和

土主动土压力的研究主要集中在以朗肯理论为基础土压力计算方法研究<sup>[3-10]</sup>, 而库仑土压力的研究较少。众所周知朗肯理论的假定条件比较苛刻, 严格满足其条件的工程情况较少。库仑土压力则能适应更多形式的挡墙和填土, 具有广泛的适用范围。赵均海<sup>[11]</sup>基于非饱和土双应力状态变量抗剪强度统一解, 得到了非饱和土库仑主动土压力统一解, 但公式不能反映土压力与含水量之间的关系; 朱志铎<sup>[12]</sup>

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考虑非饱和土基质吸力, 基于库仑理论, 建立了非饱和膨胀土的主动土压力公式, 但求解过程必须进行试算, 较为繁琐; 胡晓军<sup>[13]</sup>在库仑精确解的基础上, 导出了非饱和膨胀土极值条件下的库仑主动土压力公式, 但公式较为复杂, 在实际工程中应用较为困难。本文在相关研究的基础上, 考虑非饱和膨胀土含水量与强度的关系等相关影响因素, 得到了非饱和膨胀土库仑主动土压力计算的解析式, 应用该公式, 可直接得出主动土压力及填土滑裂面与水平面的夹角。

## 1 膨胀土主动土压力公式的建立

### 1.1 非饱和膨胀土的强度

针对非饱和土强度, 国内外学者开展了大量研究工作, 其中代表性的成果为 Fredlund 建立的非饱和土的双变量强度表达式<sup>[14]</sup>。本文土压力计算采用该强度公式, 其表达式为:

$$\tau = c' + (c_a - u_a) \tan \phi' + (u_a - u_w) \tan \psi' \quad (1)$$

式中:  $c'$  为有效凝聚力;  $u_a$  为孔隙气应力;  $u_w$  为孔隙水应力;  $(u_a - u_w)$  为基质吸力;  $\phi'$  为有效内摩擦角;  $\psi'$  为吸力摩擦角(基质吸力的函数, 根据工程中常遇到的吸力范围, 可将其作为常数<sup>[15]</sup>);  $c' + (u_a - u_w) \tan \psi'$  为等效凝聚力。

对于非饱和膨胀土, 吸力和含水量关系, 可用下式表示<sup>[15]</sup>:

$$\lg u_s = -m \times \lg \omega + n \quad (2)$$

式中:  $u_s$  为基质吸力, 即  $(u_a - u_w)$ ;  $\omega$  为含水量;  $m, n$  为常数, 由试验确定。

### 1.2 主动土压力公式的建立

如图 1 所示挡土墙, 设当墙体发生离开填土的位移, 填土的抗剪强度全部发挥时, 填土上部出现拉裂缝深度为  $Z_0$ , 形成图示 AA, BCG 的滑动体。 $Z_0$  可用下式求出<sup>[13]</sup>:

$$Z_0 = \frac{2(c' + u_s \tan \phi')}{\gamma \tan(45^\circ - \frac{\phi'}{2})} - \frac{q}{\gamma} \quad (3)$$

式中:  $\gamma$  为填土的天然重度、 $q$  为填土表面超载;  $u_s$  可用(2)式求出; 当  $Z_0 \leq 0$  时, 填土表面无裂缝开展, 取  $Z_0 = 0$ 。

对滑动土体进行受力分析, 作用力有以下 5 项。

(1) 土体自重  $W_1, W_2$  及  $q$  的合力  $W_3$ , 由几何关系得:

$$W_1 = \frac{1}{2} \gamma h^2 \frac{\cos(\alpha - \beta) \cos(\theta - \alpha)}{\cos^2 \alpha \sin(\theta - \beta)} \quad (4)$$

$$W_2 = \gamma Z_0 h \frac{\cos \beta \cos(\theta - \alpha)}{\cos \alpha \sin(\theta - \beta)} \quad (5)$$

$$W_3 = q h \frac{\cos \beta \cos(\theta - \alpha)}{\cos \alpha \sin(\theta - \beta)} \quad (6)$$

(2) BC 上的反力  $R$ ;

(3) BC 上的总黏聚力  $C = (c' + u_s \tan \phi') h \cdot \frac{\cos(\alpha - \beta)}{\cos \alpha \sin(\theta - \beta)}$ ;

(4) 墙背 AB 上的总黏着力  $C_w = c_w \frac{h}{\cos \alpha}$ ;

(5) 墙背 AB 上的反力  $E$ 。

式中:  $h = H - Z_0$ ;  $H$  为挡土墙的高度;  $\delta$  为填土与墙背的外摩擦角;  $c_w$  为填土与墙背接触面上的单位黏着力。

上述的 5 个力形成闭合力矢多边形(图 2), 其中矢量  $fc$  为土压力  $E$ 。过  $c$  点作  $ch$  平行于  $ag$ , 交  $gf$  于  $h$ , 延长  $hc$  交过  $b$  点平行于  $fc$  的平行线于点  $d$ , 延长  $fc$  交  $ag$  于点  $i$ , 过点  $h$  作  $hi'$  平行于  $fc$  交  $ag$  于  $i'$  点。

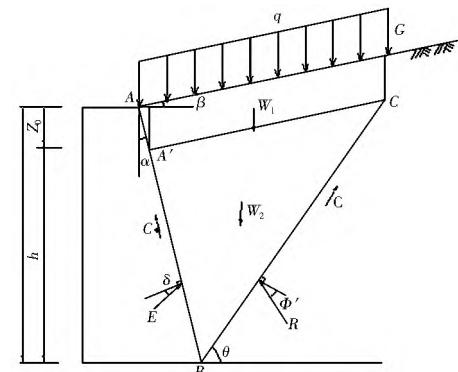


图 1 挡土墙与滑动土体

Fig. 1 Retaining wall and sliding wedge

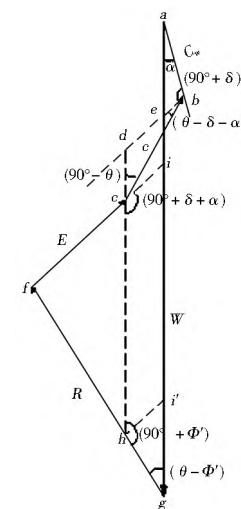


图 2 挡土墙土压力计算

Fig. 2 Earth pressure calculation on Retaining wall

由图中可见:

$$\overline{ch} = \overline{ag} - \overline{ae} - \overline{dc} - \overline{tg} \quad (7)$$

$$\begin{aligned} \overline{ag} &= W_1 + W_2 + W_3 = \left[ \frac{1}{2} \gamma h^2 \frac{\cos(\alpha - \beta)}{\cos^2 \alpha} + \right. \\ &\left. \frac{\gamma Z_0 h \cos \beta}{\cos \alpha} + \frac{q h \cos \beta}{\cos \alpha} \right] \cdot \frac{\cos(\theta - \alpha)}{\sin(\theta - \beta)} \end{aligned} \quad (8)$$

由图 2 中的几何关系得:

$$\overline{ae} = \frac{c_w h \cos \delta}{\cos \alpha \cos(\alpha + \delta)} \quad (9)$$

$$\overline{dc} = \frac{(\dot{c} + u_s \tan \phi) h \cos(\alpha - \beta)}{\cos \alpha \cos(\alpha + \delta)} \cdot \frac{\sin(\theta - \alpha - \delta)}{\sin(\theta - \beta)} \quad (10)$$

$$\begin{aligned} \overline{tg} &= \frac{(\dot{c} + u_s \tan \phi) h \cos(\alpha - \beta)}{\cos \alpha \cos(\alpha + \delta)} \cdot \\ &\frac{\cos \theta \cos(\theta - \alpha - \delta - \phi)}{\sin(\theta - \beta) \sin(\theta - \phi)} - \frac{c_w h \cos \alpha}{\cos \alpha \cos(\alpha + \delta)} \cdot \\ &\frac{\cos(\theta - \alpha - \delta - \phi)}{\sin(\theta - \phi)} \end{aligned} \quad (11)$$

将式(8)~式(11)带入式(7)得:

$$\begin{aligned} \overline{ch} &= \left[ \frac{1}{2} \gamma h^2 \frac{\cos(\alpha - \beta)}{\cos^2 \alpha} + \frac{\gamma Z_0 h \cos \beta}{\cos \alpha} + \frac{q h \cos \beta}{\cos \alpha} \right] \cdot \\ &\frac{\cos(\theta - \alpha)}{\sin(\theta - \beta)} - \frac{c_w h \cos \delta}{\cos \alpha \cos(\alpha + \delta)} - \\ &\frac{(\dot{c} + u_s \tan \phi) h \cos(\alpha - \beta)}{\cos \alpha \cos(\alpha + \delta)} \cdot \frac{\sin(\theta - \alpha - \delta)}{\sin(\theta - \beta)} - \\ &\frac{(\dot{c} + u_s \tan \phi) h \cos(\alpha - \beta)}{\cos \alpha \cos(\alpha + \delta)} \cdot \frac{\cos \theta \cos(\theta - \alpha - \delta - \phi)}{\sin(\theta - \beta) \sin(\theta - \phi)} + \\ &\frac{c_w h \sin \alpha}{\cos \alpha \cos(\alpha + \delta)} \cdot \frac{\cos(\theta - \alpha - \delta - \phi)}{\sin(\theta - \phi)} \end{aligned} \quad (12)$$

又三角形  $hfc$  中应用正弦定理可得:

$$E = \overline{ch} \frac{\sin(\theta - \phi)}{\cos(\theta - \alpha - \delta - \phi)} \quad (13)$$

将(12)式带入式(13)得:

$$\begin{aligned} E &= \left\{ \left[ \frac{1}{2} \gamma h^2 \frac{\cos(\alpha - \beta)}{\cos^2 \alpha} + \frac{\gamma Z_0 h \cos \beta}{\cos \alpha} + \frac{q h \cos \beta}{\cos \alpha} \right] \cdot \right. \\ &\left. \frac{\cos(\theta - \alpha)}{\sin(\theta - \beta)} - \frac{c_w h \cos \delta}{\cos \alpha \cos(\alpha + \delta)} - \frac{(\dot{c} + u_s \tan \phi) h \cos(\alpha - \beta)}{\cos \alpha \cos(\alpha + \delta)} \right. \end{aligned}$$

$$\frac{\cos(\theta - \alpha)}{\sin(\theta - \beta)} - \frac{c_w h \cos \delta}{\cos \alpha \cos(\alpha + \delta)} - \frac{(\dot{c} + u_s \tan \phi) h \cos(\alpha - \beta)}{\cos \alpha \cos(\alpha + \delta)}$$

$$E = \frac{b_1 [\sin(2\theta - \phi - \alpha) + \sin(\alpha - \phi)] - 2b_2 - b_3 [\cos(\beta - \phi - \alpha) - \cos(2\theta - \phi - \alpha - \beta)]}{\sin(2\theta - \phi - \delta - \alpha - \beta) + \sin(\alpha + \phi + \delta - \beta)} \quad (20)$$

令:  $x = 2\theta - \phi - \delta - \alpha - \beta$

$$\text{则: } E = \frac{b_1 [\sin(x + \delta + \beta) + \sin(\alpha - \phi)] - 2b_2 - b_3 [\cos(\beta - \phi - \alpha) - \cos(x + \delta)]}{\sin x + \sin(\alpha + \delta + \phi - \beta)} \quad (21)$$

令  $\frac{dE}{d\theta} = 0$  得:

$$\begin{aligned} &[-b_1 \sin(\delta + \beta) - b_2 \cos \delta] + [b_1 \cos(\delta + \beta) \cdot \\ &\sin(\alpha + \delta + \phi - \beta) - b_1 \sin(\alpha - \phi) + 2b_2 - b_3 \sin \delta \cdot \\ &\sin(\alpha + \delta + \phi - \beta) + b_3 \cos(\beta - \phi - \alpha)] \cos x \\ &+ [-b_1 \sin(\delta + \beta) \sin(\alpha + \delta + \phi - \beta) - b_3 \cos \delta \cdot \\ &\sin(\alpha + \delta + \phi - \beta)] \sin x = 0 \end{aligned} \quad (22)$$

在式(22)中, 令:

$$d_1 = [-b_1 \sin(\delta + \beta) - b_3 \cos \delta] \quad (23)$$

$$\frac{\sin(\theta - \alpha - \delta)}{\sin(\theta - \beta)} - \frac{(\dot{c} + u_s \tan \phi) h \cos(\alpha - \beta)}{\cos \alpha \cos(\alpha + \delta)} \cdot$$

$$\frac{\cos \theta \cos(\theta - \alpha - \delta - \phi)}{\sin(\theta - \beta) \sin(\theta - \phi)} + \frac{c_w h \sin \alpha}{\cos \alpha \cos(\alpha + \delta)} \cdot$$

$$\left. \frac{\cos(\theta - \alpha - \delta - \phi)}{\sin(\theta - \phi)} \right\} \cdot \frac{\sin(\theta - \phi)}{\cos(\theta - \alpha - \delta - \phi)} \quad (14)$$

化简得:

$$E = \left[ \frac{1}{2} \gamma h^2 \frac{\cos(\alpha - \beta)}{\cos^2 \alpha} + \frac{(q + \gamma z_0) h \cos \beta}{\cos \alpha} \right] \cdot$$

$$\frac{\cos(\theta - \alpha) \sin(\theta - \phi)}{\sin(\theta - \beta) \cos(\theta - \alpha - \delta - \phi)} - \frac{(\dot{c} + u_s \tan \phi) h \cos(\alpha - \beta) \cos \phi}{\cos \alpha} \cdot$$

$$\frac{1}{\sin(\theta - \beta) \cos(\theta - \alpha - \delta - \phi)} - \frac{c_w h}{\cos \alpha} \cdot$$

$$\frac{\sin(\theta - \phi - \alpha) \sin(\theta - \beta)}{\sin(\theta - \beta) \cos(\theta - \alpha - \delta - \phi)} \quad (15)$$

主动土压力应是所有可能滑裂面倾角  $\theta$  中所对应的土压力  $E$  的最大值, 相应的滑裂面倾角为  $\theta_r$ 。可先由  $dE/d\theta = 0$ , 解出  $\theta_r$ , 再代入式(15)得出  $E_a$  的解析式, 为此:

令

$$b_1 = \frac{1}{2} \gamma h^2 \frac{\cos(\alpha - \beta)}{\cos^2 \alpha} + \frac{(q + \gamma z_0) h \cos \beta}{\cos \alpha} \quad (16)$$

$$b_2 = \frac{(\dot{c} + u_s \tan \phi) h \cos(\alpha - \beta) \cos \phi}{\cos \alpha} \quad (17)$$

$$b_3 = \frac{c_w h}{\cos \alpha} \quad (18)$$

则:

$$\begin{aligned} E &= b_1 \cdot \frac{\cos(\theta - \alpha) \sin(\theta - \phi)}{\sin(\theta - \beta) \cos(\theta - \alpha - \delta - \phi)} - b_2 \cdot \\ &\frac{1}{\sin(\theta - \beta) \cos(\theta - \alpha - \delta - \phi)} - b_3 \cdot \\ &\frac{\sin(\theta - \phi - \alpha) \sin(\theta - \beta)}{\sin(\theta - \beta) \cos(\theta - \alpha - \delta - \phi)} \end{aligned} \quad (19)$$

对式(19)进行三角变换并化简得:

$$d_2 = [b_1 \cos(\delta + \beta) \sin(\alpha + \delta + \phi - \beta) -$$

$$b_1 \sin(\alpha - \phi) + 2b_2 - b_3 \sin \delta \cdot \sin(\alpha + \delta + \phi - \beta) +$$

$$b_3 \cos(\beta - \phi - \alpha)] \quad (24)$$

$$d_3 = [-b_1 \sin(\delta + \beta) \sin(\alpha + \delta + \phi - \beta) -$$

$$b_3 \cos \delta \sin(\alpha + \delta + \phi - \beta)] \quad (25)$$

则有:

$$d_1 + d_2 \cos x + d_3 \sin x = 0 \quad (26)$$

经三角变换得:

$$(d_1 - d_2) \tan^2 \frac{\chi}{2} + 2d_3 \tan \frac{\chi}{2} + (d_1 + d_2) = 0 \quad (27)$$

解(27)式得:

$$\tan \frac{\chi}{2} = \frac{-d_3 - \sqrt{d_3^2 + d_2^2 - d_1^2}}{d_1 - d_2} \quad (28)$$

则:

$$\theta_r = \arctan \left( \frac{-d_3 - \sqrt{d_3^2 + d_2^2 - d_1^2}}{d_1 - d_2} \right) + \frac{\phi}{2} + \frac{\delta}{2} + \frac{\alpha}{2} + \frac{\beta}{2} \quad (29)$$

将式(29)所得的滑裂面倾角  $\theta_r$  带入(15)式可得主动土压力  $E_a$ 。

## 2 算例分析

某挡土墙,墙高  $H = 8$  m、作用在填土表面的超载  $q = 10$  kN/m, 填土重度  $\gamma = 18.6$  kN/m<sup>3</sup>, 有效应力指标  $c' = 10$  kPa,  $\phi = 25^\circ$ , 填土的平均吸力摩擦角  $\Psi = 15^\circ$ ,  $m = 3.774$ ,  $n = 6.063$ , 其他有关参数及计算结果列于表 1。

表 1 主动土压力算例

Tab. 1 Example for calculation of active earth pressure

$\alpha$ ( $^\circ$ )	$\beta$ ( $^\circ$ )	$\delta$ ( $^\circ$ )	$c_w$ / kPa	$\omega$ (%)	$E_a / (\text{kN} \cdot \text{m}^{-1})$		
					朗肯公式	文献[13]	本文公式
0	0	0	0	15	92.30	92.30	92.30
0	0	0	0	20	145.40	145.40	145.40
0	0	0	0	25	163.06	163.06	163.06
0	0	0	0	30	169.97	169.97	169.97
0	0	0	0	35	173.10	173.10	173.10
5	10	10	10	15	—	99.75	99.75
5	10	10	10	20	—	153.93	153.93
5	10	10	10	25	—	172.10	172.10
5	10	10	10	30	—	179.24	179.24
5	10	10	10	35	—	182.48	182.48

注: 取  $\gamma = 18.6$  kN/m<sup>3</sup>,  $H = 8$  m,  $q = 10$  kN/m,  $m = 3.774$ ,  $n = 6.063$ ,  $c' = 10$  kPa,  $\phi = 25^\circ$ ,  $\Psi = 15^\circ$ , —表示不适用。

由表 1 知, 随着土的含水量增加, 主动土压力增大。当含水量小于 25% 时, 土压力从含水量为 15% 时的 92.30 kN/m、99.75 kN/m 增大到含水量为 25% 时的 163.06 kN/m、172.10 kN/m, 土压力随含水量的变化明显, 变化幅度较大; 当含水量大于 25% 时, 土压力的变化幅度明显减小; 若不考虑吸力的影响, 即膨胀土饱和时的土压力分别为 177.10 kN/m、186.64 kN/m, 结果偏于保守。对符合朗肯假设条件的情况, 本文公式与朗肯公式计算结果完全相同与文献[13]库仑精确解的计算结果一致。

## 3 结论

本文基于库仑土压力的基本原理, 考虑非饱和膨胀土的含水量与强度之间的关系及墙背粘着力等相关因素, 运用数学与力学方法, 推得了非饱和膨胀土主动土压力计算的解析式, 利用该解析式可直接得出膨胀土的主动土压力及填土滑裂面与水平面的夹角。算例分析表明, 随着含水量的增加, 主动土压力增大, 而变化幅度逐渐减小; 实际算例检验表明了本文公式的合理性; 公式计算简便、精度可靠, 具有一定的工程应用价值。

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